

ADDENDUM TO DIVISION OF ENFORCEMENT PRESS RELEASE FISCAL YEAR 2022

Enforcement Summary Chart for FY 2022 by Primary Classification							
Primary Classification	Civil Actions	Standalone AP	Follow-On AP	Delinquent Filings	Total	% of Total Actions	% of Civil and Standalone APs
Broker Dealer	14 (32)	32 (38)	86 (88)	0 (0)	132 (158)	17%	10%
Delinquent Filings	0 (0)	0 (0)	0 (0)	129 (129)	129 (129)	17%	0%
Foreign Corrupt Practices Act	0 (0)	6 (6)	0 (0)	0 (0)	6 (6)	1%	1%
Insider Trading	36 (85)	7 (8)	0 (0)	0 (0)	43 (93)	6%	9%
Investment Advisers / Investment Companies	34 (62)	85 (109)	55 (55)	0 (0)	174 (226)	23%	26%
Issuer Reporting / Audit & Accounting	19 (36)	57 (78)	15 (15)	0 (0)	91 (129)	12%	16%
Market Manipulation	30 (115)	2 (2)	3 (3)	0 (0)	35 (120)	5%	7%
Miscellaneous	3 (12)	3 (3)	0 (0)	0 (0)	6 (15)	1%	1%
NRSRO	0 (0)	1 (2)	0 (0)	0 (0)	1 (2)	0%	0%
Public Finance Abuse	7 (12)	12 (13)	1 (1)	0 (0)	20 (26)	3%	4%
Securities Offering	87 (321)	19 (26)	7 (7)	0 (0)	113 (354)	15%	23%
SRO / Exchange	0 (0)	1 (1)	0 (0)	0 (0)	1 (1)	0%	0%
Transfer Agent	1 (2)	6 (7)	2 (2)	0 (0)	9 (11)	1%	2%
Total	231 (677)	231 (293)	169 (171)	129 (129)	760 (1,270)	100%	100%

Each action initiated has been included in only one category listed above, even though many actions may fall under more than one category. For example, the 15 Follow-On Administrative Proceedings in the category Issuer Reporting / Audit & Accounting are proceedings against accountants instituted pursuant to Rule 102(e) of the Commission's Rules of Practice. The underlying conduct in those matters may relate to other categories as well, such as Insider Trading or Securities Offering. Party counts are noted in parentheses.

A listing of the actions referenced in the table above is provided in the last section of this document.

Enforcement Actions Filed in Fiscal Years 2017 to 2022

	FY 2022	FY 2021	FY 2020	FY 2019	FY 2018	FY 2017
Standalone Enforcement Actions	462	434	405	526	490	446
Follow-On Admin. Proceedings	169	143	180	210	210	196
Delinquent Filings	129	120	130	126	121	112
Total Actions	760	697	715	862	821	754

Standalone Enforcement Actions is a combined count of Civil Actions and Standalone APs.

Total Money Ordered (in millions)

	FY 2022	FY 2021	FY 2020	FY 2019	FY 2018	FY 2017
Penalties	\$4,194	\$1,456	\$1,091	\$1,101	\$1,439	\$832
Disgorgement	\$2,245	\$2,395	\$3,588	\$3,248	\$2,506	\$2,957
Total	\$6,439	\$3,852	\$4,680	\$4,349	\$3,945	\$3,789

Disgorgement totals for 2020 and 2021 have been adjusted to exclude amounts ordered but waived. The currently-reported 2020 figure of \$3,588 (in millions) was previously reported as \$3,589 (in millions). The currently-reported 2021 figure of \$2,395 (in millions) was previously reported as \$2,396 (in millions). No other years were adjusted.

Money Distributed to Harmed Investors (in millions)

FY 2022	FY 2021	FY 2020	FY 2019	FY 2018	FY 2017
\$937	\$521	\$602	\$1,197	\$794	\$1,073

FISCAL YEAR 2022 ENFORCEMENT CASES LISTED BY PROGRAM AREA

Case Name	Type of Action	Release No.	Date Filed
BROKER-DEALER			
In the Matter of Robert J. Balunas	Follow-on Admin. Proc.	34-93310	10/13/21
In the Matter of Ibrahim Almagarby	Follow-on Admin. Proc.	34-93307	10/13/21
In the Matter of Kimberly L. Sredich	Follow-on Admin. Proc.	34-93351	10/15/21
SEC v. Kenneth A. Welsh	Civil	LR-25251	10/28/21
In the Matter of Keith A. Wakefield	Follow-on Admin. Proc.	34-93502	11/01/21
In the Matter of Ryan Maasen	Follow-on Admin. Proc.	34-93529	11/05/21
In the Matter of Donald Howard	Follow-on Admin. Proc.	34-93599	11/17/21
In the Matter of Mark Melnick	Follow-on Admin. Proc.	34-93605	11/18/21
In the Matter of Hughe Duwayne Graham	Follow-on Admin. Proc.	34-93619	11/19/21
In the Matter of Steven Robert Comisar	Follow-on Admin. Proc.	34-93650	11/23/21
In the Matter of Phillip W. Conley	Follow-on Admin. Proc.	34-93712	12/02/21
In the Matter of Charles Lloyd, et al.	Follow-on Admin. Proc.	34-93725	12/07/21
In the Matter of David Michael	Follow-on Admin. Proc.	34-93781	12/14/21
In the Matter of Wedbush Securities Inc.	Standalone Admin. Proc.	33-11015	12/15/21
In the Matter of John A. Paulsen	Follow-on Admin. Proc.	34-93805	12/16/21
In the Matter of J.P. Morgan Securities LLC	Standalone Admin. Proc.	34-93807	12/17/21
In the Matter of Stephen Kenneth Grossman	Follow-on Admin. Proc.	34-93861	12/22/21
In the Matter of Matthew L. Walker	Follow-on Admin. Proc.	34-93877	12/29/21
In the Matter of Glenn Arcaro	Follow-on Admin. Proc.	34-93930	01/07/22
In the Matter of Future Money Ltd.	Follow-on Admin. Proc.	34-93931	01/07/22
In the Matter of Stephen Scott Moleski	Follow-on Admin. Proc.	34-93923	01/07/22
In the Matter of tZERO ATS, LLC	Standalone Admin. Proc.	34-93938	01/10/22
In the Matter of Heidi Mao	Follow-on Admin. Proc.	34-94057	01/25/22
In the Matter of Robert H. Van Zandt	Follow-on Admin. Proc.	34-94102	01/31/22
In the Matter of Kristian Sierp	Follow-on Admin. Proc.	34-94195	02/08/22
In the Matter of Michael Oswald Williams	Follow-on Admin. Proc.	34-94191	02/08/22
In the Matter of Banyan Securities, LLC	Standalone Admin. Proc.	34-94248	02/15/22
In the Matter of Church, Gregory, Adams Securities Corporation	Standalone Admin. Proc.	34-94249	02/15/22
In the Matter of J.K. Financial Services, Inc.	Standalone Admin. Proc.	34-94250	02/15/22
In the Matter of Wall Street Access	Standalone Admin. Proc.	34-94245	02/15/22
In the Matter of Watermark Securities, Inc.	Standalone Admin. Proc.	34-94246	02/15/22
In the Matter of The Winning Edge Financial Group, Inc.	Standalone Admin. Proc.	34-94247	02/15/22
In the Matter of John Griffin	Follow-on Admin. Proc.	34-94269	02/17/22
In the Matter of Janine Acosta	Follow-on Admin. Proc.	34-94413	03/14/22
In the Matter of McArthur Jean	Follow-on Admin. Proc.	34-94414	03/14/22
SEC v. Mario E. Rivero	Civil	LR-25346	03/14/22
In the Matter of Adrian Ortega	Follow-on Admin. Proc.	34-94488	03/22/22
In the Matter of Ronnie Lee Moss, Jr.	Follow-on Admin. Proc.	34-94576	04/01/22
In the Matter of Anita Sgarro	Follow-on Admin. Proc.	34-94660	04/08/22

In the Matter of Charles Smigrod	Follow-on Admin. Proc.	34-94659	04/08/22
In the Matter of Charles K. Topping	Follow-on Admin. Proc.	34-94661	04/08/22
In the Matter of Global Investment Strategy UK Ltd.	Follow-on Admin. Proc.	34-94656	04/08/22
In the Matter of John William Gunn	Follow-on Admin. Proc.	34-94658	04/08/22
In the Matter of Lynn D. Cawthorne	Follow-on Admin. Proc.	34-94734	04/15/22
In the Matter of Scott A. Merkelson	Follow-on Admin. Proc.	34-94790	04/25/22
In the Matter of Maplelane Capital LLC	Standalone Admin. Proc.	34-94830	05/02/22
In the Matter of Justin Wallace Herman	Follow-on Admin. Proc.	34-94833	05/02/22
In the Matter of Bobby Eugene Guess	Follow-on Admin. Proc.	34-94852	05/05/22
In the Matter of Lev Parnas	Follow-on Admin. Proc.	34-94885	05/10/22
In the Matter of Peter J. Baker	Follow-on Admin. Proc.	34-94904	05/12/22
In the Matter of Alan H. New	Follow-on Admin. Proc.	34-94931	05/17/22
In the Matter of David N. Knuth	Follow-on Admin. Proc.	34-94930	05/17/22
In the Matter of Anthony Salandra	Follow-on Admin. Proc.	34-94937	05/18/22
In the Matter of Thomas F. Plaut	Follow-on Admin. Proc.	34-94944	05/19/22
In the Matter of Michael Staisil	Follow-on Admin. Proc.	34-94943	05/19/22
In the Matter of Wells Fargo Clearing Services, LLC	Standalone Admin. Proc.	34-94955	05/20/22
In the Matter of TradeZero America, Inc., et al.	Standalone Admin. Proc.	33-11066	05/24/22
SEC v. LG Capital Funding, LLC, et al.	Civil	LR-25410	06/07/22
SEC v. Western International Securities, Inc., et al.	Civil	2022-110	06/15/22
In the Matter of Erin Verespy	Follow-on Admin. Proc.	34-95121	06/17/22
In the Matter of Wesley J. Evans	Follow-on Admin. Proc.	34-95187	06/30/22
In the Matter of Efrain Betancourt, Jr.	Follow-on Admin. Proc.	34-95194	07/01/22
SEC v. Richard Jonathan Eden, et al.	Civil	LR-25444	07/14/22
In the Matter of TradeStation Securities, Inc.	Standalone Admin. Proc.	34-95369	07/27/22
In the Matter of UBS Financial Services Inc.	Standalone Admin. Proc.	34-95368	07/27/22
In the Matter of J.P. Morgan Securities LLC	Standalone Admin. Proc.	34-95367	07/27/22
SEC v. Alan Z. Appelbaum	Civil	LR-25459	07/28/22
In the Matter of Aegis Capital Corp.	Standalone Admin. Proc.	33-11086	07/28/22
In the Matter of Paul F. Gallivan	Standalone Admin. Proc.	33-11085	07/28/22
SEC v. Crown Bridge Partners, LLC, et al.	Civil	LR-25461	08/02/22
In the Matter of Kevin Gillespie	Follow-on Admin. Proc.	34-95421	08/04/22
In the Matter of Dustin Paul Shafer	Follow-on Admin. Proc.	34-95453	08/09/22
SEC v. Alpine Securities Corporation, et al.	Civil	LR-25465	08/10/22
In the Matter of Crown Bridge Partners, LLC	Follow-on Admin. Proc.	34-95467	08/11/22
In the Matter of Soheil Ahdoot	Follow-on Admin. Proc.	34-95468	08/11/22
In the Matter of Sepas Ahdoot	Follow-on Admin. Proc.	34-95469	08/11/22
In the Matter of Ross Barish	Follow-on Admin. Proc.	34-95482	08/11/22
In the Matter of IMC Chicago, LLC	Standalone Admin. Proc.	34-95487	08/12/22
In the Matter of William B. McHenry, Jr., et al.	Follow-on Admin. Proc.	34-95594	08/25/22
In the Matter of Matthew J. Skinner	Follow-on Admin. Proc.	34-95608	08/25/22
In the Matter of Douglas Martin	Follow-on Admin. Proc.	34-95617	08/26/22
In the Matter of Karina Chairez	Follow-on Admin. Proc.	34-95619	08/26/22
In the Matter of Jonathan Dax Cooke	Follow-on Admin. Proc.	34-95630	08/29/22
In the Matter of Worldwide Markets, Ltd.	Follow-on Admin. Proc.	34-95627	08/29/22
In the Matter of TAB Networks, Inc.	Follow-on Admin. Proc.	34-95628	08/29/22

In the Matter of Mark W. Heckeles, Esq.	Follow-on Admin. Proc.	34-95625 & 34-95626	08/29/22
In the Matter of Micah J. Eldred	Follow-on Admin. Proc.	34-95649	08/31/22
In the Matter of Leon Vaccarelli	Follow-on Admin. Proc.	34-95671	09/02/22
In the Matter of Lisa Gordon	Follow-on Admin. Proc.	34-95690	09/07/22
In the Matter of Stanley B. Secor	Follow-on Admin. Proc.	34-95703	09/08/22
SEC v. TBG Holdings Corporation, et al.	Civil	LR-25504	09/09/22
SEC v. Ted L. Romeo	Civil	LR-25504	09/09/22
SEC v. Frank S. Dickerson	Civil	LR-25504	09/09/22
SEC v. Vincent J. Caputo	Civil	LR-25504	09/09/22
In the Matter of Robert Lenard Booth a/k/a Trevor Nicholas	Follow-on Admin. Proc.	34-95784	09/15/22
In the Matter of Damian Ostertag	Follow-on Admin. Proc.	34-95788	09/15/22
In the Matter of Mark Alan Lisser	Follow-on Admin. Proc.	34-95785	09/15/22
In the Matter of TBG Holdings Corporation	Follow-on Admin. Proc.	34-95815	09/16/22
In the Matter of Neil B. Swartz	Follow-on Admin. Proc.	34-95816	09/19/22
In the Matter of Ted L. Romeo	Follow-on Admin. Proc.	34-95821	09/19/22
In the Matter of Damilare Sonoiki	Follow-on Admin. Proc.	34-95824	09/19/22
In the Matter of Timothy S. Hart, CPA	Follow-on Admin. Proc.	34-95835	09/20/22
In the Matter of Raymond James & Associates, Inc.	Standalone Admin. Proc.	34-95875	09/22/22
In the Matter of Robert A. Vanetten	Follow-on Admin. Proc.	34-95858	09/22/22
In the Matter of Raymond T. Clark	Follow-on Admin. Proc.	34-95894	09/23/22
SEC v. Morningview Financial, LLC, et al.	Civil	LR-25520	09/23/22
In the Matter of Jose Luis Casero Sanchez	Follow-on Admin. Proc.	34-95906	09/23/22
In the Matter of Alex Duain Forester	Follow-on Admin. Proc.	34-95911	09/26/22
In the Matter of Michael Robert Hicks	Follow-on Admin. Proc.	34-95912	09/26/22
In the Matter of Deutsche Bank Securities Inc., et al.	Standalone Admin. Proc.	34-95928	09/27/22
In the Matter of Barclays Capital Inc.	Standalone Admin. Proc.	34-95919	09/27/22
In the Matter of BofA Securities, Inc., et al.	Standalone Admin. Proc.	34-95921	09/27/22
In the Matter of Cantor Fitzgerald & Co.	Standalone Admin. Proc.	34-95927	09/27/22
In the Matter of Credit Suisse Securities (USA) LLC	Standalone Admin. Proc.	34-95926	09/27/22
In the Matter of Citigroup Global Markets Inc.	Standalone Admin. Proc.	34-95920	09/27/22
In the Matter of Goldman Sachs & Co. LLC	Standalone Admin. Proc.	34-95922	09/27/22
In the Matter of Jefferies LLC	Standalone Admin. Proc.	34-95923	09/27/22
In the Matter of Morgan Stanley & Co. LLC, et al.	Standalone Admin. Proc.	34-95924	09/27/22
In the Matter of Nomura Securities International, Inc.	Standalone Admin. Proc.	34-95925	09/27/22
In the Matter of UBS Financial Services, Inc., et al.	Standalone Admin. Proc.	34-95929	09/27/22
In the Matter of Sean Andrew O'Neal	Follow-on Admin. Proc.	34-95915	09/27/22
In the Matter of Michael Roy Raynor	Follow-on Admin. Proc.	34-95916	09/27/22
In the Matter of Lee Sobel	Follow-on Admin. Proc.	34-95918	09/27/22
In the Matter of Christopher Byungin Lee	Follow-on Admin. Proc.	34-95914	09/27/22
In the Matter of Darcas Oliver Young	Follow-on Admin. Proc.	34-95932	09/27/22
In the Matter of Joshua Louis Rupp	Follow-on Admin. Proc.	34-95935	09/27/22
In the Matter of Kent R. E. Whitney	Follow-on Admin. Proc.	34-95957	09/30/22
In the Matter of David Lee Parrish	Follow-on Admin. Proc.	34-95956	09/30/22
In the Matter of R. Jacob Steele	Standalone Admin. Proc.	34-95951	09/30/22
SEC v. Allen Mecham	Civil	None	09/30/22

In the Matter of Joseph Boulos	Follow-on Admin. Proc.	34-95960	09/30/22
In the Matter of Darrell Green	Standalone Admin. Proc.	34-95962	09/30/22

DELINQUENT FILINGS

In the Matter of Fortem Resources Inc.	Standalone Admin. Proc.	34-94033	01/24/22
In the Matter of Apawthecary Pets USA	Standalone Admin. Proc.	34-94058	01/25/22
In the Matter of AVTEAM, Inc.	Standalone Admin. Proc.	34-94051	01/25/22
In the Matter of Bare Metal Standard, Inc. (a/k/a AS Capital, Inc.)	Standalone Admin. Proc.	34-94060	01/25/22
In the Matter of Celexus, Inc. fka Telupay International, Inc.	Standalone Admin. Proc.	34-94056	01/25/22
In the Matter of KinerjaPay Corp.	Standalone Admin. Proc.	34-94063	01/26/22
In the Matter of IOTA Communications, Inc.	Standalone Admin. Proc.	34-94077	01/27/22
In the Matter of ORANCO, Inc.	Standalone Admin. Proc.	34-94078	01/27/22
In the Matter of Star Buffet, Inc.	Standalone Admin. Proc.	34-94081	01/27/22
In the Matter of Two Rivers Water & Farming Company	Standalone Admin. Proc.	34-94085	01/27/22
In the Matter of West Coast Ventures Group Corp.	Standalone Admin. Proc.	34-94090	01/27/22
In the Matter of XT Energy Group, Inc.	Standalone Admin. Proc.	34-94084	01/27/22
In the Matter of Petrolia Energy Corporation	Standalone Admin. Proc.	34-94098	01/28/22
In the Matter of Sector 5, Inc.	Standalone Admin. Proc.	34-94100	01/28/22
In the Matter of Blue State Corp.	Standalone Admin. Proc.	34-94112	01/31/22
In the Matter of BlueNRGY Group Ltd.	Standalone Admin. Proc.	34-94113	01/31/22
In the Matter of Gordon Biersch Brewery Restaurant Group, Inc.	Standalone Admin. Proc.	34-94171	02/07/22
In the Matter of Inventergy Global, Inc.	Standalone Admin. Proc.	34-94173	02/07/22
In the Matter of EnXnet, Inc.	Standalone Admin. Proc.	34-94182	02/08/22
In the Matter of Global Seed Corp.	Standalone Admin. Proc.	34-94183	02/08/22
In the Matter of Liberated Syndication Inc.	Standalone Admin. Proc.	34-94193	02/08/22
In the Matter of Nearctic Nickel Mines, Inc.	Standalone Admin. Proc.	34-94194	02/08/22
In the Matter of Price Net U.S.A., Inc.	Standalone Admin. Proc.	34-94198	02/09/22
In the Matter of Qwick Media Inc.	Standalone Admin. Proc.	34-94200	02/09/22
In the Matter of Zenosense, Inc.	Standalone Admin. Proc.	34-94209	02/09/22
In the Matter of ZhongTai Biotechnology Pharmaceutical Group Co., Ltd.	Standalone Admin. Proc.	34-94210	02/09/22
In the Matter of DBUB Group, Inc.	Standalone Admin. Proc.	34-94213	02/10/22
In the Matter of Double Down Holdings, Inc.	Standalone Admin. Proc.	34-94214	02/10/22
In the Matter of Omphalos, Corp.	Standalone Admin. Proc.	34-94215	02/10/22
In the Matter of ParagonCoin, Limited	Standalone Admin. Proc.	34-94216	02/10/22
In the Matter of ZYQC Group Holding Limited	Standalone Admin. Proc.	34-94225	02/10/22
In the Matter of Parallax Health Sciences, Inc.	Standalone Admin. Proc.	34-94220	02/10/22
In the Matter of Artemis Acquisition Corp.	Standalone Admin. Proc.	34-94229	02/11/22
In the Matter of Bioscience Neutraceuticals, Inc.	Standalone Admin. Proc.	34-94230	02/11/22
In the Matter of Capital Access Point III, Inc.	Standalone Admin. Proc.	34-94235	02/11/22
In the Matter of CareClix Holdings, Inc. (f/k/a Solei Systems, Inc.)	Standalone Admin. Proc.	34-94236	02/11/22
In the Matter of Talware Networx Inc.	Standalone Admin. Proc.	34-94227	02/11/22
In the Matter of Validian Corp.	Standalone Admin. Proc.	34-94228	02/11/22
In the Matter of CMS Asset Holdings, Inc.	Standalone Admin. Proc.	34-94243	02/14/22
In the Matter of CurAegis Technologies, Inc.	Standalone Admin. Proc.	34-94244	02/14/22
In the Matter of Sonant Systems, Inc.	Standalone Admin. Proc.	34-94239	02/14/22
In the Matter of Symphony Acquisition Corp.	Standalone Admin. Proc.	34-94240	02/14/22

In the Matter of Virolab, Inc.	Standalone Admin. Proc.	34-94267	02/15/22
In the Matter of Xodtec Group USA, Inc.	Standalone Admin. Proc.	34-94268	02/16/22
In the Matter of Randolph Capital Group, Inc.	Standalone Admin. Proc.	34-94275	02/17/22
In the Matter of Safety First Healthcare Corp.	Standalone Admin. Proc.	34-94276	02/17/22
In the Matter of Global Boatworks Holdings, Inc.	Standalone Admin. Proc.	34-94500	03/23/22
In the Matter of Advanzeon Solutions, Inc.	Standalone Admin. Proc.	34-94861	05/06/22
In the Matter of Baynon International Corp.	Standalone Admin. Proc.	34-95507	08/16/22
In the Matter of Bravo Enterprises, Ltd.	Standalone Admin. Proc.	34-95508	08/16/22
In the Matter of Alanco Technologies, Inc.	Standalone Admin. Proc.	34-95522	08/17/22
In the Matter of ANDES 7, Inc.	Standalone Admin. Proc.	34-95523	08/17/22
In the Matter of Champion Pain Care Corp., (f/k/a OICCO Acquisition I, Inc.)	Standalone Admin. Proc.	34-95512	08/17/22
In the Matter of DCA Asset Management, Inc.	Standalone Admin. Proc.	34-95513	08/17/22
In the Matter of Destination Maternity Corporation	Standalone Admin. Proc.	34-95514	08/17/22
In the Matter of Dominion Minerals Corp.	Standalone Admin. Proc.	34-95515	08/17/22
In the Matter of Eco Science Solutions, Inc.	Standalone Admin. Proc.	34-95516	08/17/22
In the Matter of Findex.com, Inc.	Standalone Admin. Proc.	34-95517	08/17/22
In the Matter of Halo Companies, Inc.	Standalone Admin. Proc.	34-95519	08/17/22
In the Matter of IEH Corporation	Standalone Admin. Proc.	34-95518	08/17/22
In the Matter of Insulcrete, Inc.	Standalone Admin. Proc.	34-95535	08/18/22
In the Matter of Lescarden, Inc.	Standalone Admin. Proc.	34-95536	08/18/22
In the Matter of Metwood, Inc. (aka Emerge Health International, Inc.)	Standalone Admin. Proc.	34-95538	08/18/22
In the Matter of Newbridge Global Ventures, Inc.	Standalone Admin. Proc.	34-95539	08/18/22
In the Matter of NMI Health, Inc.	Standalone Admin. Proc.	34-95553	08/18/22
In the Matter of Northern Power Systems Corp.	Standalone Admin. Proc.	34-95554	08/18/22
In the Matter of Novelion Therapeutics, Inc.	Standalone Admin. Proc.	34-95542	08/18/22
In the Matter of Plantation Corp.	Standalone Admin. Proc.	34-95543	08/18/22
In the Matter of Shearson American REIT, Inc.	Standalone Admin. Proc.	34-95540	08/18/22
In the Matter of Tara Gold Resources Corp.	Standalone Admin. Proc.	34-95541	08/18/22
In the Matter of Qornerstone, Inc.	Standalone Admin. Proc.	34-95562	08/22/22
In the Matter of Santa Fe Financial Corporation	Standalone Admin. Proc.	34-95563	08/22/22
In the Matter of TASA Products Limited	Standalone Admin. Proc.	34-95573	08/22/22
In the Matter of The American Energy Group, Ltd.	Standalone Admin. Proc.	34-95574	08/22/22
In the Matter of Union Bridge Holdings Limited	Standalone Admin. Proc.	34-95564	08/22/22
In the Matter of VeriTeQ Corporation	Standalone Admin. Proc.	34-95565	08/22/22
In the Matter of Vu1 Corporation	Standalone Admin. Proc.	34-95566	08/22/22
In the Matter of WOD Retail Solutions, Inc.	Standalone Admin. Proc.	34-95568	08/22/22
In the Matter of Taronis Technologies, Inc. (n/k/a BBHC, Inc.)	Standalone Admin. Proc.	34-95640	08/31/22
In the Matter of Basic Energy Services, Inc.	Standalone Admin. Proc.	34-95665	09/02/22
In the Matter of BLGI, Inc.	Standalone Admin. Proc.	34-95666	09/02/22
In the Matter of FAB Universal Corp.	Standalone Admin. Proc.	34-95667	09/02/22
In the Matter of Glen Rose Petroleum Corp	Standalone Admin. Proc.	34-95668	09/02/22
In the Matter of Adaptive Medias, Inc.	Standalone Admin. Proc.	34-95677	09/06/22
In the Matter of American Pacific Minerals Ltd.	Standalone Admin. Proc.	34-95678	09/06/22
In the Matter of Corporate Resource Services, Inc.	Standalone Admin. Proc.	34-95692	09/07/22
In the Matter of DirectView Holdings, Inc.	Standalone Admin. Proc.	34-95693	09/07/22
In the Matter of Doers Education Asean Ltd.	Standalone Admin. Proc.	34-95686	09/07/22

In the Matter of Emerging Opportunities Corp.	Standalone Admin. Proc.	34-95689	09/07/22
In the Matter of Emergem Resources, Inc. f/k/a DiamondWorks Ltd.	Standalone Admin. Proc.	34-95680	09/07/22
In the Matter of Enova Systems, Inc.	Standalone Admin. Proc.	34-95681	09/07/22
In the Matter of Gold Camp Cripple Creek Colorado, Inc.	Standalone Admin. Proc.	34-95705	09/08/22
In the Matter of Gold Capital Corp. /CO/	Standalone Admin. Proc.	34-95706	09/08/22
In the Matter of Bridgeway National Corp.	Standalone Admin. Proc.	34-95727	09/09/22
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